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PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

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U.S. Patent AN

### UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.		219.40223X00
First I	nventor	Rajesh R. SHAH
Title	See 1 in Adder	ndum
Evaro	es Mail Labol A	10

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label

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APPLICATION ELEM	<u>-</u>	ADDRESS TO: Assistant Commissioner for Paten Box Patent Application	ts
See MPEP chapter 600 concerning utility pa		Washington, DC 20231	
1. X Fee Transmittal Form (e.g., PTO/S (Submit an original and a duplicate for fee proces Applicant claims small entity statu	ssing)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	09/942608
See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	76/
3. Specification [Total F   Property of the content	-	a. Computer Readable Form (CRF)	3
- Descriptive title of the invention - Cross Reference to Related App	plications	b. Specification Sequence Listing on:	) •
- Statement Regarding Fed spon	sored R & D	i. L CD-ROM or CD-R (2 copies); or	
- Reference to sequence listing, a or a computer program listing a		i i. 🔲 paper	
- Background of the Invention	phendix	c. Statements verifying identity of above copies	
- Brief Summary of the Invention	•		
- Brief Description of the Drawing	gs (if filed)	ACCOMPANYING APPLICATION PARTS	-/
- Detailed Description - Claim(s)		9. X Assignment Papers (cover sheet & document(s))	V
- Abstract of the Disclosure		10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney	
4. X Drawing(s) (35 U.S.C. 113) [ 73	otal Sheets 7	11. English Translation Document (if applicable)	
5. Oath or Declaration [ 7	otal Pages 4 ]	12. Information Disclosure Copies of ID Statement (IDS)/PTO-1449 Citations	SC
a. Newly executed (original or	copy)	13. Preliminary Amendment	
b. Copy from a prior application (for continuation/divisional with	ith Box 18 completed)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
i. DELETION OF INVE Signed statement attached d		15. Certified Copy of Priority Document(s) (if foreign priority is claimed)	
named in the prior application		16. Request and Certification under 35 U.S.C. 122	
1.63(d)(2) and 1.33(b).	,	(b)(2)(B)(i). Applicant must attach form PTO/SB/	35
6. Application Data Sheet. See 37 0	CFR 1.76	or its equivalent.  17. X Other: Credit.Card.Payment.Form	
18. If a CONTINUING APPLICATION, check or in an Application Data Sheet under 37 CFI	appropriate box, and supp	y the requisite information below and in a preliminary amendme	ent,
Continuation Divisional	Continuation-in-part (CIP)	of commented N	
	Continuation-in-part (CIF)	of prior application No.:/	
	The auties dissipation of the	Group Art Unit:	
BOX 5b, is considered a part of the disclosure (	of the accompanying continu	prior application, from which an oath or declaration is supplied un ation or divisional application and is hereby incorporated by referen	der
The incorporation can only be relied upon wher	n a portion has been inadver	ently omitted from the submitted application parts.	
	19. CORRESPONDE	NCE ADDRESS	
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City		State Zip Code	
Country	Tele	phone Fax	
Name (Print/Type) Hung H. Bui	,	Registration No. (Attorney/Agent) 40,415	$\overline{\gamma}$
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Signature	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	n - ( -   )	

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# Addendum

1. A MECHANISM FOR REPORTING TOPOLOGY CHANGES TO CLIENTS IN A CLUSTER

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

804

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	NEW APPLICATION				
Filing Date	August 31, 2001				
First Named Inventor	Rajesh R. SHAH				
Examiner Name	Not yet assigned				
Group / Art Unit	Not yet assigned				
Attorney Docket No.	219.40223X00				

					·	, Dec.				
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)							
1. 🗵		oner is hereby au and credit any ov			3. ADD	ITIONAL Large Entity Fee	FEES	Small Entity Fee		Fee
Deposit Account	01-2135				Code	(\$)	Code	(\$)	Fee Description	Paid
Number	01-2100				105	130	205	65	Surcharge - late filing fee or oath	
Deposit	<u></u>				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Antonelli, Terr	y, Stout & Kraus,	LLP		139	130	139	130	Non-English specification	
Name	L				147	2,520	147	2,520	For filing a request for reexamination	
Under 37 C	Additional Fee F FR 1.16 and 1.1	7			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
See 37 CF		/ status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. ⊠ Paymen	t Enclosed:				115	110	215	55	Extension for reply within first month	
☐ Check	☑ Credit card	☐ Money Order	☐ Other		116	390	216	195	Extension for reply within second month	
<u> </u>	EEE C	ALCULATION			117	890	217	445	Extension for reply within third month	
1. BASIC FILI		RECUEATION			118	1,390	218	695	Extension for reply within fourth month	
Large Entity S	mall Entity				128	1,890	228	945	Extension for reply within fifth month	
		Fee Description	_		119	310	219	155	Notice of Appeal	
, , ,	ode (\$)			Paid	120	310	220	155	Filing a brief in support of an appeal	
		Utility filing fee	710	<u>'</u> —	121	270	221	135	Request for oral hearing	
		Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 2		Reissue filing fee			140	110	240	55	Petition to revive - unavoidable	
114 150 2	14 75	Provisional filling	fee		141	1,240	241	620	Petition to revive – unintentional	
	CURTOTAL	(4)	(\$)	710	142	1,240	242	620	Utility issue fee (or reissue)	
	SUBTOTAL	- (1)	<u> (4)</u>	710	143	440	243	220	Design issue fee	
2. EXTRA CLAIM	FEES				144	600	244	300	Plant issue fee	
			e from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims 23	-20 = [		low 18 = [	Paid 54	123	130	123	130	Petitions related to provisional applications	
Independent Claims 3	-3 =	0 X 8	30 =	0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x [	=	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Fee Fee	Small Entity Fee Fee	Y Fee Description			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code (\$)	•			149	710	249	355	For each additional invention to be	<u> </u>
103 18	203 9	Claims in exce							examined (37 CFR § 1.129(b))	
102 80	202 40	Independent c			179	710	279	355	Request for Continued Examination (RCE)	
104 270	204 135	Multiple depen		•						$\sqcup$
109 80	209 40	** Reissue inde original patent			169	900	169	900	Request for expedited examination of a design application	
110 18	210 9	** Reissue clai over original pa		of 20 and						
	SI	JBTOTAL (2)	(\$) 54		Other fe	e (specify	y)	-		
**or number previous	sly paid, if greater;	; For Reissues, see	above		*Reduc	ed by Ba	sıc Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 40	

SUBMITTED BY				Co	omplete (if applicable)	
Name (Print/Type)	Hung H. Bui	Registration No. Attorney/Agent)	40,415	Telephone	703-312-6600	
Signature	Hung	H Fris		Date	August 31, 2001	

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rajesh R. SHAH et al.

Serial No.: Not yet assigned

Filing Date: August 31, 2001

For: A MECHANISM FOR REPORTING TOPOLOGY CHANGES

TO CLIENTS IN A CLUSTER

Art Unit: Not yet assigned

#### LETTER SUBMITTING FORMAL DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

August 31, 2001

Sir:

Attached herewith are seven (7) sheets of Formal Drawings illustrating Figs. 1-9B, submitted in the above-identified application.

No fee is incurred, however, the Commissioner is hereby authorized to charge any underpayment or to credit any overpayment to Deposit Account No. 01-2135 of Antonelli, Terry, Stout & Kraus, LLP (referencing Case No. 219.40223X00).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Hung H. Bui

Registration No. 40,415

HHB:srm

(703) 312-6600